BEGENER GENTRAL FAX CENTER

MAY 2 3 2007

PACE 113 \* RCVD AT 5/20/2007 11:28:57 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/14 \* DNIS:2738300 \* CSID: \* DURATION (mm-55):01-26 Application Serial No. 09/972,821 - Filed October 5, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/657,250 Application No.: Filed: September 6, 2000

Inventor(s): Pierre, et al.

, ... , 🚄

EVENT BOOKING MECHANISM Title:

Examiner:

Shang, Annan Q.

Group/Art Unit: 2623 Atty. Dkt. No:

5266-02600

\*\*\*\*\*CERTUTICATE OF PACSIMILE TRANSMISSION\*\*\*\*

I hereby certify that this correspondence is being transmitted via facsimile number 571-273-8300 to the United States Patent and Trademark Office on the date

Rory D. Rankin

May 23, 2007

**-**

## PRE-APPEAL BRIEF REQUEST FOR REVIEW

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request. This request is being filed with a notice of appeal. The review is requested for the reason(s) below. Applicant is in receipt of the Final Office Action mailed February 23, 2007. Claims 1-9, 11-21, and 23-29 remain pending in the application. Reconsideration of the present case is carnestly requested in light of the following remarks.

Application Scrial No. 09/657,250 - Filed September 6, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/657,250 September 6, 2000

lnventor(s): Pierre, et al.

EVENT BOOKING Title: MECHANISM

Examiner: Shang, Annan Q. Group/Art Unit: 2623 \*\*\*\*\*\*\*\*\*\*\*\*\* asy. Likt. No: 5266-02600

\*\*\*\*CERTIFICATE OF FACSIMILE TRANSMISSION\*\*\*

I heroby certify that this correspondence is being transmitted via facsimile number 571-273-8300 to the United States Patent and Tradomark Office on the data shown below Atty. Dkt. No: Rory D. Rankin May 23, 2007 8

## NOTICE OF APPEAL

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicants hereby appeal to the Board of Patent Appeals and Interferences from the final Office Action dated February 23, 2007. Authorization to charge the fee of \$500.00 to the below Deposit Account for filing this Notice of Appeal pursuant to 37 C.F.R. §41.20(b)(1) is herein provided. If any extensions of time (under 37 C.F.R. § 1.136) are necessary to prevent the above-referenced application(s) from becoming abandoned, Applicant(s) hereby petition for such extensions. The Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel P.C., Deposit Account No. 50-1505/5266-02600/RDR.

Respectfully submitted,

Ron D. Rankin

Ref. No. 47,884 ATTORNEY FOR APPLICANT(S)

Meyertons, Hood, Kivlin, Kowert, & Goetzel, P.C. P.O. Box 398 Austin, TX 78767-0398 Phone: (512) 853-8800 Date: May 23, 2007

## Application Serial No. 09/972,821 - Filed October 5, 2001

"As shown in FIG. 4, the event manager 402 of node a 401 and the event manager 411 of node b 410 also receive event information from the event correlator 413 of node b 410. The event manager 411 of node b 410 also provides events to the event correlator 413 on node b. The event manager 411 also receives event information from point product 415, where events are actually occurring. Event manager 402, 411 maintains, for example, the events and their associated state and a list of subscriptions. Each event manager may have a local memory data store, e.g., a blackboard, where statefull events are stored. The blackboard may be kept persistent in a file based storage, for recovery of the information across generations (process invocation of the event manager). The clients subscribing to events are responsible for reestablishing the respective subscriptions across new invocations of the event manager. Accordingly, the subscriptions may be maintained in memory. The local event archive is maintained for all the events received by the event manager. The event management system of the present invention also may correlate events from multiple nodes. In an exemplary embodiment of the present invention, the event management system provides views of events consolidated to single management stations or in views/categories that cross node boundaries."

In contrast, Pohlmann clearly describes the event correlator as being configured to

## Application Serial No. 09/972,821 - Filed October 5, 2001

Lawler, in view of Pohlmann, in view of Warwick, and in view of U.S. Patent No. 6,108,695 (hereinafter "Chawla"). Claim 11 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Pohlmann in view of Warwick and in view of U.S. Patent No. 6,636,901 (hereinafter "Sudhakaran"). Claim 21 is rejected under 35 U.S.C. § 103(a) as being unpatentable over Pohlmann in view of Warwick in view of U.S. Patent Publication 2003/0159150. Finally, claim 27 is rejected under 35 U.S.C. § 103(a) as being unpatentable over Lawler, in view of Pohlmann, in view of Warwick and in view of Sudhakaran. The following clear errors in the Examiner's rejection are noted.

Claim 1 recites features including "an event broker configured to register a plurality of event bookings in response to requests from . . . clients", one or more "event managers . . . configured to detect particular types of events", and one or more "action handlers . . . configured to initiate particular types of actions." In paragraph 3 of the Office Action, the examiner equates Pohlmann's event correlator 413 (also 330) with the recited broker, Pohlmann's event manager 310 with the recited event managers, and Pohlmann's response engine 350 with the recited action handler. However, as discussed below, Applicant submits the suggested equivalences do not hold up upon further scrutiny.

For example, claim 1 recites that the event broker is configured to register event bookings in response to requests from one or more clients. Given the equivalences suggested by the examiner, Pohlmann would have to disclose the event correlator (413, 330) (which the examiner equates with the recited event broker) is configured to register event bookings in response to requests from clients. However, Pohlmann does not disclose such features. In the Office Action, the examiner cites column 5, lines 3-26, of Pohlmann as disclosing the above features. However, nowhere does this citation disclose the event correlator 413 is configured to register event bookings in response to requests from one or more clients as suggested. The cited disclosure is reproduced in its entirety below:

∫ --- γ-<u>---</u> ,

byce 3/3, 8CAD Y1 2/53/5001 11:58:21 bw [Eastern Daylight Time] \* SVR:USP104 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):01-58

Application Serial No. 09/972,821 - Filed October 5, 2001

In light of the foregoing, Applicant submits the application is in condition for allowance, and notice to that effect is respectfully requested. If any extension of time (under 37 C.F.R. § 1.136) is necessary to prevent the above referenced application from becoming abandoned, Applicant hereby petitions for such an extension. If any fees are due, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel PC Deposit Account No. 501505/5266-02600/RDR. Also enclosed herewith are the following items:

☑ Notice of Appeal☑ Fee Authorization

Respectfully submitted,

Roty D. Rankin Reg. No. 47,884

ATTORNEY FOR APPLICANT(S)

Meyertons, Hood, Kivlin, Kowert, & Goetzel, P.C. P.O. Box 398 Austin, TX 78767-0398 Phone: (512) 853-8850

Date: May 23, 2007